DOCKET NO.: MSFT-0187/154573.1 **PATENT**



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

John L. Manferdelli et al.

Serial No.: 09/604,518 **Group Art Unit: 2766**

Filing Date: June 27, 2000 **Examiner: Not Yet Assigned**

For: SYSTEM AND METHOD FOR INTERFACING A SOFTWARE PROCESS TO SECURE REPOSITORIES

DATE OF DEPOSIT: October 23, 2000

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO OFFICE OF INITIAL PATENT EXAMINATION, CUSTOMER SERVICE CENTER, ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.

TYPED NAME: STEVEN J. ROCCI
REGISTRATION NO.: 30,489

Office of Initial Patent Examination
Customer Service Center
Assistant Commissioner for Patents
Washington DC 20231
Attention:

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a Corrected Filing Receipt is respectfully requested. The requested changes are noted thereon, as well as listed below.

2. There is an error with respect to the following data which is:

☒ **incorrectly entered**; and/or

☐ omitted.

Error in	*Correct data*
Applicant's address: residence of Michael David Marr has changed, per executed Declaration filed this date with Response to Notice to File Missing Parts	Michael David Marr Sammamish, Washington

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.

Date: October 23, 2000

STEVEN J. ROCCI
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FILING RECEIPT

OC000000005349874

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/604,518	06/27/2000	2766	1224	MSFT-0187/154573.1	9	41	5

Peter M Ullman
Woodcock Washburn Kurtz Mackiewicz & Norris LLP
One Liberty Place
46th Floor
Philadelphia, PA 19103

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SEP 14 2000
Woodcock Washburn Kurtz
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Date Mailed: 08/24/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

John L. Manferdelli, Redmond, WA ;
Michael David Marr, ~~Bellevue, WA~~ ; Sammamish, WA
Vinay Krishnaswamy, Woodinville, WA ;
Mariusz H. Jakubowski, Bellevue, WA ;

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SEP 14 2000
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Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 08/23/2000

3

Title

System and method for interfacing a software process to secure repositories

Preliminary Class

713

Data entry by : HOPKINS, AUDREY **Team :** OIPE **Date:** 08/24/2000

SECTOR 18

DOCKET NO.: MSFT-0187/154573.1 **PATENT**



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I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO BOX MISSING PARTS, ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.

Steven J. Rocci

TYPED NAME: **Steven J. Rocci**
REGISTRATION NO.: **30,489**

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the "Notice to File Missing Parts of Application--Filing Date Granted" dated **August 24, 2000**, a response to which is due **October 24, 2000**, enclosed herewith for filing is:

☒ The original Combined Declaration and Power of Attorney, executed by the inventor(s). An unexecuted copy of this document, attached to the above-identified specification, was filed by Express Mail No. **EL 567 667 775 US** on **June 27, 2000**.

☒ **An Associate Power of Attorney is also enclosed.**

☒ **Request for Corrected Filing Receipt.**

☐ Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a Statement previously submitted on ____.

☐ The original executed Statement Claiming Small Entity Status Under 37 CFR 1.9 and 1.27 is filed herewith.

☐ A Statement Claiming Small Entity Status Under 37 CFR 1.9 and 1.27 has been forwarded to the Refund Section, Accounting Division, Office of Finance on ____. A copy of this Statement Claiming Small Entity Status form and the Request for Refund form is enclosed for your convenience.

☒ **A check in the amount of $ 130.00 is attached to cover the surcharge. Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.**

☐ Please charge the surcharge to my Deposit Account No. 23-3050 in the amount of $____.

☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in duplicate.

☒ Any patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).

☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

☒ Any filing fees under 37 CFR 1.16 including fees for presentation of extra claims.

Date: October 23, 2001

Steven J. Rocci
Registration No. **30,489**

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#3

FORMALITIES LETTER

OC000000005349875

UNITED STATES DEPARTMENT OF COMMERCE
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/604,518	06/27/2000	John L. Manferdelli	MSFT-0187/154573.1

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OCT 2 6 2000
PATENT & TRADEMARK OFFICE

Date Mailed: 08/24/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of $130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is $ 130.**

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/30/2000 MMARMOL 00000055 09604518
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